RECEIVED

AUG 1 0 2004

TECHNOLOGY CENTER 3700

150 po

74019

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

the Reissue Application of:

THOMAS J. SHAW

For Reissue of U.S. Patent 5,810,775

Issued September 22, 1998

Serial No. 08/862,849

Filing Date: September 19, 2000

Serial No.:

09/665,634

For

CAP OPERATED RETRACTABLE

MEDICAL DEVICE

֍֍֍֍֍֍֍֍֍֍֍֍֍

Group Art Unit: 3763

Examiner: Rodriguez

CERTIFICATE OF FIRST CLASS MAILING

Via First Class Mail

Mail Stop AF Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

I hereby certify that the papers enclosed herein are being deposited with the United States Postal Service as First Class Mail, with postage prepaid, on the date set forth below in an envelope addressed to: Mail Stop AF, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450. July 30, 2004

Date of Mailing

Signature

Kristen R. Paris, Registration No. 52,092 **Attorney for Applicant**

TRANSMISSION OF SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

According to the Final Office Action dated May 5, 2004, the Examiner has not yet reviewed or considered Applicant's Supplemental Information Disclosure Statement ("Statement") mailed on April 13, 2004. During a personal interview with the Examiner on July 13, 2004, Applicant's counsel asked the Examiner about the Statement and was informed that the Examiner did not have a copy of the Statement in her file. The Examiner, therefore, asked Applicant's counsel to resend the Statement.

Accordingly, herein transmitted is a copy of the filed Statement, along with a copy of the return receipt postcard stamped by the USPTO, for the Examiner's review and consideration.

Respectfully submitted,

Kristen R. Paris

Registration No. 52,092

Date: July <u>30</u>, 2004 LOCKE LIDDELL & SAPP LLP 2200 Ross Avenue, Suite 2200 Dallas, TX 75201-6776 (214) 740-8678 (214) 740-8800 (fax)



RECEIVED

AUG 1 0 2004

PATENT

TECHNOLOGY CENTER 3700

STATES PATENT AND TRADEMARK OFFICE

In re the Reissue Application of:

THOMAS J. SHAW

For Reissue of U.S. Patent 5,810,775

Issued September 22, 1998

Serial No. 08/862,849

Filing Date:

September 19, 2000

Serial No.:

09/665,634

For

CAP OPERATED RETRACTABLE

MEDICAL DEVICE

თ თ თ თ თ თ თ თ თ თ თ თ

Group Art Unit: 3763

Examiner: Rodriguez

CERTIFICATE OF FIRST CLASS MAILING

Via First Class Mail

Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

I hereby certify that the papers enclosed herein are being deposited with the United States Postal Service as First Class Mail, with postage prepaid, on the date set forth below in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Signature

Date of Signature

Kristen R. Paris, Registration No. 52,092 Attorney for Applicant

SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Pursuant to the duty of disclosure under 37 C.F.R. §1.56, Applicants submit this supplemental Information Disclosure Statement to provide copies of references cited in a supplemental Search Report issued March 8, 2004 in the corresponding European application. This submittal is made in accordance with 37 C.F.R. §§1.97 and 1.98 and §609 of the Manual of Patent Examining Procedure. The patents, publications and

other information herein are listed on the attached Form PTO/SB/08B. Copies of the listed references are submitted herewith.

Applicants hereby expressly reserve the right to swear behind the effective dates of any of the above patents and other publications and to question the relevance and materiality of the Patents and Publications listed herein, in whole, in part, or in combination, subsequent to filing this Information Disclosure Statement.

It is believed no fee is due for submission of this paper. If this is incorrect, the Commissioner is hereby authorized to charge any fee due to Locke Liddell & Sapp LLP Deposit Account No. 12-1781.

Respectfully submitted,

Kristen R. Paris

Registration No. 52,092

Date: April <u>13</u>, 2004 LOCKE LIDDELL & SAPP LLP 2200 Ross Avenue, Suite 2200 Dallas, TX 75201-6776 (214) 740-8678 (214) 740-8800 (fax)

PTO/SB/08A (08-03)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE Under the Paperwork Reducti s are required to respond to a collection of information unless it contains a valid OMB control number.

Substitute for form 1449/PTO

Sheet

INFORMATION DISCLOSURE STATEMENT BY APPLICANT

(Use as many sheets as necessary)

Complete if Known				
Application Number	09/665,634			
Filing Date	September 19, 2000			
First Named Inventor	Thomas J. Shaw			
Art Unit	3763			
Examiner Name	Rodriguez			
Attorney Docket Number	75329 / 74019			

Examiner	Cite	Document Number	Publication Date	DOCUMENTS	T
Initials*	No.1	Number-Kind Code ^{2 (ff known)}	MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		^{US-} 5,480,385	01-02-1996	Thorne et al.	
		US-			
		US-			
		US-			Pr.
		US-			+ CEI
		US-			AUG 1 D 2004 TECHNOLOGY CENTER 3700
		US-			706/030
		US-			CHINOLOGO CUCH
-	. '	US-			CENTER
	· ·	US-			**************************************
		US-			
		US-			
· · · · · · · · · · · · · · · · · · ·		US-			
		US-			
		US-			†
		US-			

		FORE	IGN PATENT DOCU	JMENTS		
Examiner Initials*	Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages	Π
·		Country Code ³ Number ⁴ Kind Code ⁵ (if known)	MM-DD-YYYY	Applicant of Oiled Boodinent	Or Relevant Figures Appear	T⁵
	<u>. </u>	WO 96/05879 A1	02-29-1996	New Med. Tech.		Т
		EP 0 539 634 A1	05-05-1993	Care Med. Dev.		
	<u> </u>					
-						L_
1	l	1	'		1	

Commitmen		
Examiner	Date	
Signature	Considered	
	 00.10.00.00	

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. 'Applicant's unique citation designation number (optional). 'See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'See Ends Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. 'See Ends Codes of USPTO Patent Documents, by the two-letter code (WIPO Standard ST.3). For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. 'Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 If possible. 'Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 (1-800-786-9199) and select option 2.



Attorney: KRP/dd

Title: CAP OPERATED RETRACTABLE

MEDICAL DEVICE

Applicants: Shaw et al.

Client/Matter No. 75329 / 74019

Reissue of Patent No.: 5,810,775

Today's Date: 04/13/04

Enclosed for filing in the United States Patent and Trademark Office via first class mail are the following:

- 1. Transmittal of Supplemental Information Disclosure Statement;
- Supplemental Information Disclosure Statement;
- 3. Copies of cited references; and
- 4. Postcard acknowledgement.

RECEIVED

AUG 1 0 2004

TECHNOLOGY CENTER 3700

BEST AVAILABLE COPY

Attorney: KRP/dd Client/Matter No. 75329 / 74019
Title: CAP OPERATED RETRACTABLE Reissue of Patent No.: 5,810,775

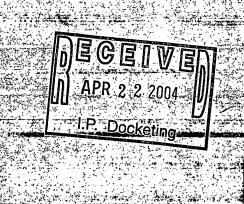
MEDICAL DEVICE

Applicants: Shaw et al. Today's Date: 04/13/04

Enclosed for filing in the United States Patent and Trademark Office via first class mail are the following:

- 1. Transmittal of Supplemental Information Disclosure Statement;
- Supplemental Information Disclosure Statement;
- 3. Copies of cited references; and
- 4. Postcard acknowledgement.





DOCKETED

CHARD!